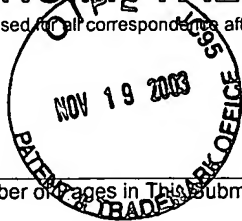


TRANSMITTAL FORM

(to be used for all correspondence after initial filing)



Application Number	10/650,471
Filing Date	08/28/2003
First Named Inventor	Holtcamp, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2003U025.US

Total Number of Pages in This Submission

4

reference

ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Preliminary Amendment / Response | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> After Final Affidavits/declaration(s) | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Form-1449 | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input checked="" type="checkbox"/> 1449 Form | <input type="checkbox"/> Terminal Disclaimer Two (2) | <u>Postcard</u> |
| <input checked="" type="checkbox"/> Copies of Cited References | <input type="checkbox"/> Notice to File Corrected Application Papers | |
| <input type="checkbox"/> Certified Copy of Priority Documents) | <input type="checkbox"/> Substitute Specification | |
| <input type="checkbox"/> Response to Missing Part/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts | | |

REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Kevin M. Faulkner	Registration No.	45,427
Signature			
Date	November 17, 2003		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

November 17, 2003

Typed or printed name	Tammy L. Hodges	Date	November 17, 2003
Signature			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2003U025.US
In Re Application of: Holtcamp, et al.			
U.S. Serial No. 18/650,471	Filing Date 08/28/2003	Examiner Unassigned	Group Art Unit Unassigned



Address to:
Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

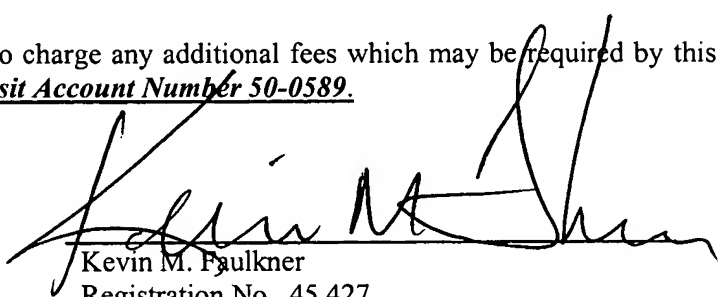
☒ Legible copies of the references are enclosed.

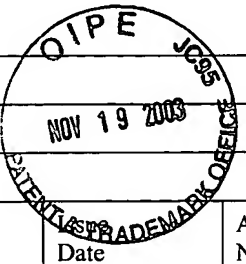
☐ This application is a:
☐ Continuation / Divisional,
☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

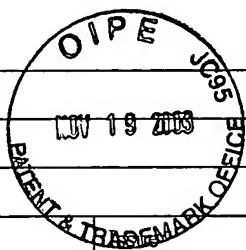
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

11.17.03
Date


Kevin M. Faulkner
Registration No. 45,427
Univation Technologies, L.L.C.
5555 San Felipe, Suite 1950
Houston, Texas 77056-2723
(713) 892-3729 Voice
(713) 892-3687 Facsimile

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)				Docket No. UNIV/0017		Serial No.		
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT				Applicant Matthew W. Holtcamp		Confirmation No.:		
(Use several sheets if necessary)				Filing Date		Group		
		Examiner						
<div style="text-align: center;">  </div>								
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate	
	A1	6,518,444 B1	02-11-2003	McConville, et al.	556	52	12-18-2000	
	A2	6,495,484 B1	12-17-2002	Holtcamp, M.W.	502	152	08-28-2000	
	A3	6,476,166 B1	11-05-2002	Holtcamp, et al.	526	160	07-20-2000	
	A4	6,441,116 B1	08-27-2002	Shikuma, et al.	526	348	10-18-1999	
	A5	6,420,297 B2	07-16-2002	Holtcamp, M.W.	502	103	07-13-2001	
	A6	6,169,152 B1	01-02-2001	Sakai, T.	526	161	07-02-1997	
	A7	6,147,174	11-14-2000	Holtcamp, et al.	526	160	07-09-1999	
	A8	5,854,165	12-29-1998	Yabunouchi, et al.	502	117	09-30-1994	
	A9	5,763,547	06-09-1998	Kolthammer, et al.	526	129	04-01-1996	
	A10	5,721,185	02-24-1998	LaPointe, et al.	502	117	05-05-1992	
	A11	5,712,352	01-27-1998	Brant, et al.	526	68	10-30-1995	
	A12	5,710,224	01-20-1998	Alt, et al.	526	160	03-03-1995	
	A13	5,602,269	02-11-1997	Biagini, et al.	556	170	07-18-1995	
Foreign Patent Documents								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1	EP 0 694 548 A1	01-31-1996	EP	C07F	5/00	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2	WO 94/07928	04-14-1994	WIPO	C08F	10/02	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>
OTHER ART								
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.						
	C1	Eugene You-Zian Chen, Tobin J. Marks, "Cocatalysts for Metal-Catalyzed Olefin Polymerization: Activators, Activation Processes, and Structure-Activity Relationships," Chem. Rev. 2000, 100, Pgs. 1391-1434.						
	C2							
	C3							
Examiner					Date Considered			
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. UNIV/0017	Serial No.
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Matthew W. Holtcamp	Confirmation No.:
(Use several sheets if necessary)		Filing Date	Group
Examiner			

**U.S. Patent Documents**

*Examiner Initial		Document Number	Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	4,960,878	10-02-1990	Crapo, et al.	556	179	12-02-1988
	A2	2003/0017940 A1		Kashiwamura, et al.	502	152	09-21-2001
	A3	2002/0137859 A1		Yokota, et al.	526	90	04-21-2001
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	
	C3	

Examiner	Date Considered
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.